

# Learning from Demonstrations: Applications to Autonomous UAV Landing and Minecraft

**Student:** Prabhasa Kalkur **Advisor:** Dr. Dileep Kalathil

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# What is imitation learning?



Learning to imitate from expert behavior

Sample-efficient learning: learn behavior from as little expert data as possible





### What is the presentation about?

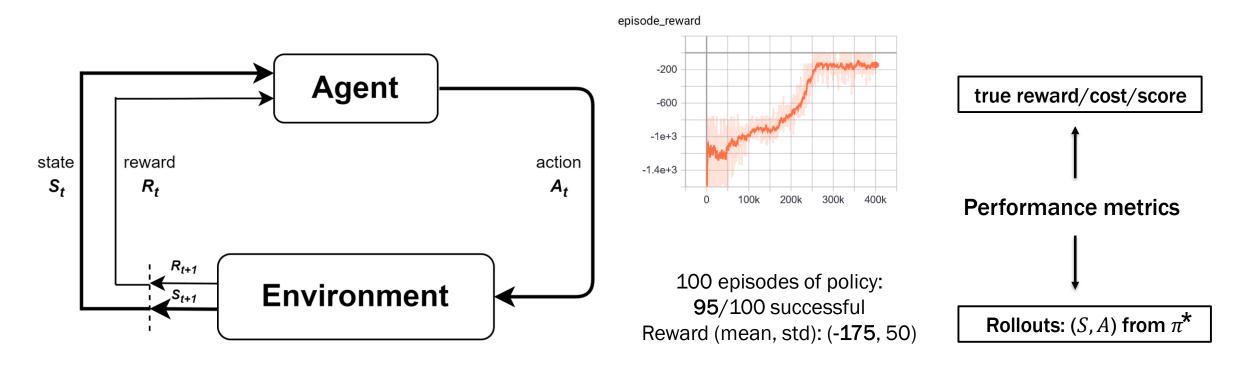


- Motivate the need for **sample-efficient** methods for learning complex behavior
- Pick Imitation Learning (IL) algorithms to learn desired behavior
- Apply GAIL to the **sparsely-rewarded** task of landing a drone (simulation)
- Discuss potential of sample-efficient learning to solve complex tasks in Minecraft

# **Reinforcement Learning**



- $s, s' \in S, a \in A$ . Consider tuple  $[S, A, P(s'|s, a), R(s, a), \gamma, H]$ , define a policy (model)  $\pi : S \to A$ 
  - Reinforcement Learning (RL): find an optimal  $\pi^*$  that maximizes  $\sum_{t=0}^{\infty} \gamma^t R_t$



# **Sections**



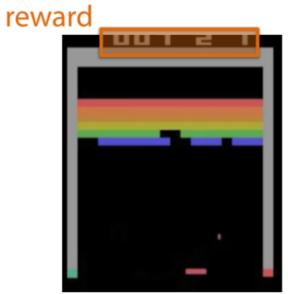
#### 1. Introduction to Imitation Learning

- 2. Application 1: Autonomous UAV Landing
- 3. Application 2: Minecraft
- 4. Conclusions and Future Work

### Why study imitation learning?



- 1. Rewards obvious in computer games: maximize score
  - Not so obvious in real-word scenarios: use a proxy instead



Mnih et al. '15

VS



### Why study imitation learning?



- 2. Can be easier to **demonstrate** desired behavior
- 3. Modern Deep-RL requires exponentially increasing number of samples
  - Not practical, especially when env samples are expensive, and compute is limited
  - One approach: use sample-efficient methods like Imitation Learning

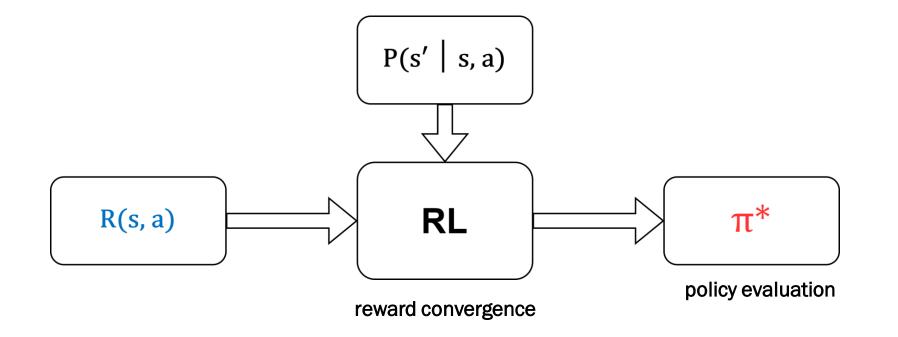
Many competitions trying to promote compute and sample-efficient learning:

- NeurIPS 2019: Game of Drones
- NeurIPS 2019 & 2020: MineRL Challenge
- 4. How humans and animals fundamentally learn behavior

# **RL** algorithms



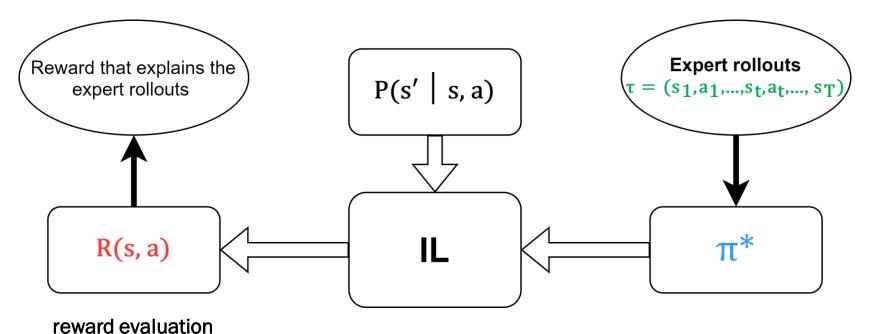
- $s, s' \in S, a \in A$ . For MDP  $[S, A, P(s'|s, a), R(s, a), \gamma]$ , define a policy  $\pi : S \to A$ 
  - Goal: find an optimal  $\pi^*$  that maximizes  $\sum_{t=0}^{\infty} \gamma^t R_t$
  - **Metric:** (i) Reward convergence, (ii) Policy evaluation (testing)



# IL algorithms



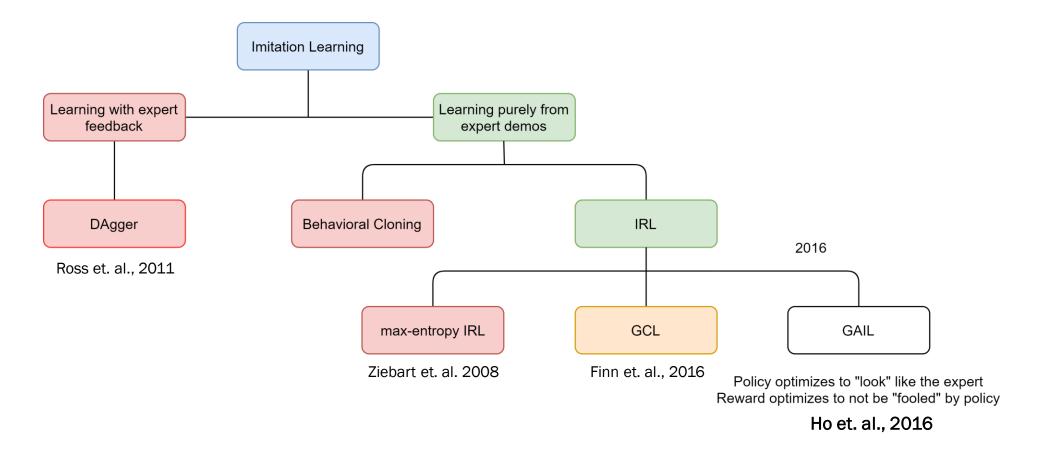
- $s, s' \in S, a \in A$ . For MDP  $[S, A, P(s'|s, a), R(s, a), \gamma]$ , define a policy  $\pi : S \to A$ 
  - Goal: given  $\tau = (s_0, a_0, s_1, a_1, \dots, s_t, a_t, \dots, s_T)$  generated from a  $\pi^*$ , extract its R(s, a)
  - **Metric:** Reward evaluation (?)



Flowchart credits: Sapana

# **Imitation Learning approaches**





Generative Adversarial Imitation Learning (GAIL) is the SOTA IL algorithm

# Some questions...



- 1. How does imitation accuracy scale with problem dimensionality and demo data?
- 2. How 'smooth' are the learned policies compared to the expert policy?
- 3. Can behaviors with sparse rewards be learned? At what cost?
- 4. Can GAIL imitate suboptimal experts? At what cost?
- 5. Can GAIL generalize?

Let us learn how to imitate a simple control task: balance an inverted pendulum!

# **Problem setup**



Train RL -> rollout expert -> Train IL -> policy evaluation (test)

**Goal:** GAIL should be able to 'imitate' expert (optimal/suboptimal?)

Discuss: imitation accuracy, sample efficiency, effect of reward quality on learning

- Expert trajectories / rollout / demonstrations: sample demos [5, 10, 20]
- Policy evaluation / rollout / testing: Check policy performance for 100 episodes
- Task solved each episode: True reward for 100 consecutive episodes during training

# Tools



- **RL library:** Stable Baselines 2.10
- Framework: TensorFlow 1.14
- Hyperparameters (HPs): RL Baselines Zoo, etc.
- Performance metrics (learned reward vs episodes, test scores): Tensorboard 1.14, W&B 0.10

#### **RL/IL Algorithms**

- **SAC** Soft Actor-Critic (optimal experts)
- **TRPO** Trust Region Policy Optimization (policy optimizer for GAIL)
- **BC** Behavioral Cloning\* (comparison with GAIL)

\*with policy: "MIpPolicy" [100, 100], optimizer: Adam, batch size: 256, train-val: 70-30

# **Sections**



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# AirSim: Autonomous UAV Navigation and Landing

**APPLICATION 1** 

# Landing on ships



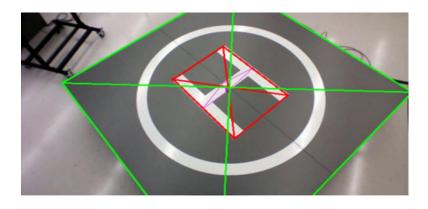
Landing Zone	Ground	Ship	
Space	Large	Limited	
Motion	None	6 DOF	
Visual References	More	Less	
Alternate L/D Places	Many	Less	
Weather	Affected	Extremely affected	

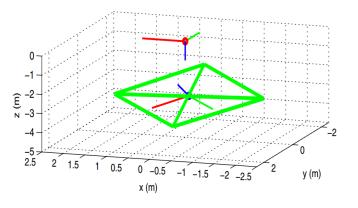


Slide credits: Bochan

### **Common Approaches**







#### ALL of them are looking at landing spot



Computer vision-based for autonomous landing by G.Xu, Pattern Recogn.Lett., 2009



Flytdock by flytbase company, June 8, 2018

Slide credits: Bochan

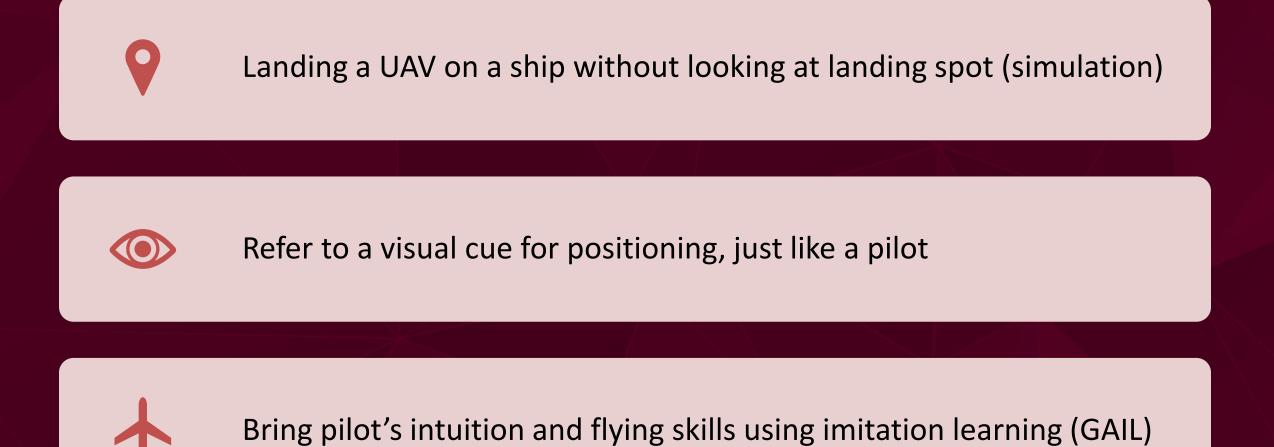
### How does a pilot approach the ship?





Slide credits: Bochan

## CONTRIBUTIONS



# **Environment simulator**



- Need a high-fidelity simulator environment for Unmanned Aerial Vehicles (UAVs)
- Microsoft AirSim 2.0
  - "An open source, cross platform simulator built on Unreal Engine"
  - Can integrate a flight controller for collecting demonstrations
  - Community support (NeurIPS 2019)
- Designed a custom ship deck
  - Landing pad, visual cue
  - Drone from AirSim



### AirSim environment: Front & Side view



# The AirSim-v0 environment



Parameters	Details	
State space (cts, dim = 6)	Drone position, velocity (X, Y, Z). <b>Goal:</b> 4x4 square around [15, 0, -0.1] Position: X [0, 17], Y [-2, 2], Z [-5, 0] – negative Z upwards	
	Velocity: X [-1, 3], Y [-1, 1], Z [-4, 4]	
Action space (cts, dim = 3)	[Pitch (rad), Roll (rad), Throttle (0, 1)]. Yaw zero. Negative pitch down	
Termination / Horizon	Timeout (finite/infinite), out of bounds, below visual cue, crash, land	

- Want to able to classify expert demos as optimal/suboptimal. Assign a simple proxy reward
- Higher reward for getting closer to landing pad, penalty for termination without reaching goal

# Generating human expert data

- Xbox controller:
  - Extremely sensitive
  - Cannot make custom calibration
- "Taranis x9d" flight controller:
  - Smoother data logging
  - Disabled yaw from the controller
- Collected 120 demonstrations of landing UAV
  - Started at random positions inside the box
  - Maneuver: different heights and at varying speeds
  - Collected (state, action, reward) pairs using AirSim APIs







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-5.133330887474585e-06 -1.6557676792144775 0.1081 6861689090729 8.055675425566733e-05 -0.0026625116 729832735 -0.06487688531364562 2.8654918560913466 e-05

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#### Human expert demos – stats

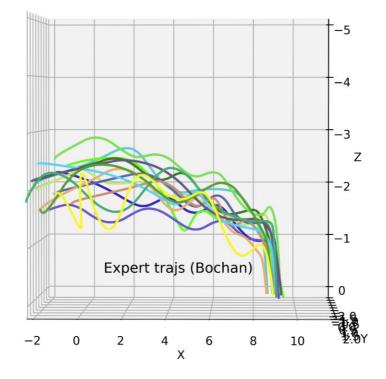


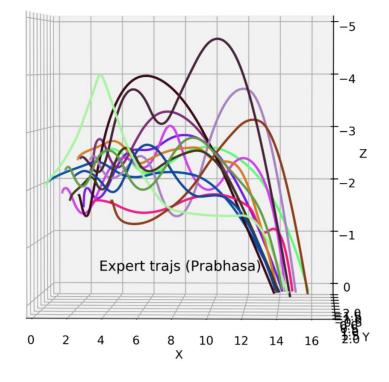
Expert	Optimal	Solved	Expert score (mean, std)	Expert length
Optimal	Yes	120/120	(1141, 27)	362
Suboptimal	No	132/140	(1116, <b>284</b> )	307

- True reward (for proxy function): 1000
- Task: Train GAIL on expert samples [5, 10, 20, 50, 120] to learn behavior (optimal/suboptimal)
- Video of expert data collection: <a href="https://youtu.be/e1noOlhzhQ4">https://youtu.be/e1noOlhzhQ4</a>

# **Expert Trajectories: humans**







**Optimal** 

**Suboptimal** 

# GAIL on suboptimal human expert

[20, 50, 120] experts, finite horizon (400 steps, same as expert)

Learned model: <u>https://youtu.be/IUDpZna4uhk</u>

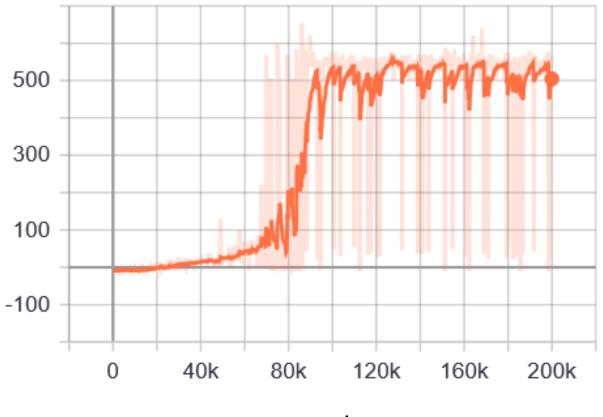
#### TEXAS A&M UNIVERSITY Engineering

#### AirSim-v0 (IL) Suboptimal expert

#### **GAIL hyperparameters**

- n\_timesteps: 2e5
- policy: 'MlpPolicy' [128, 128]
- gamma: 0.99
- learning\_rate: 3e-4
- timesteps\_per\_batch: 256
- buffer\_size: 1e6

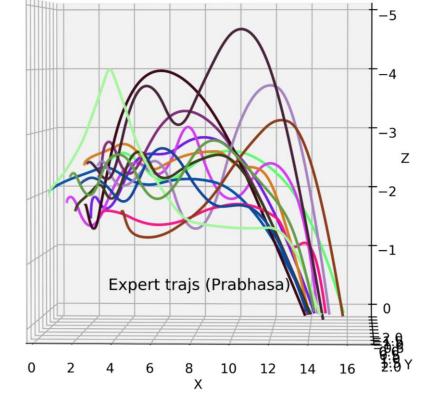
#### episode\_reward



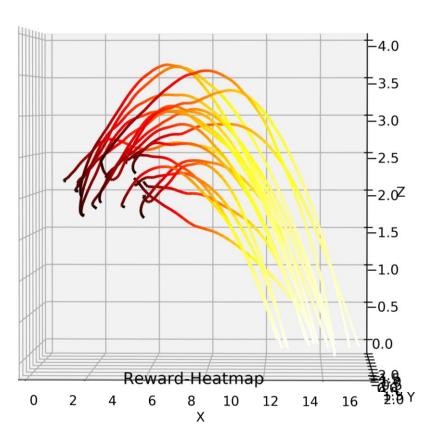
reward convergence

#### Application 1: Autonomous UAV maneuver & landing

### GAIL on suboptimal human expert



Suboptimal expert



**GAIL-learned policy** 



# GAIL on optimal human expert

[20, 50, 120] experts, finite horizon (400 steps, same as expert)

Learned model: <a href="https://youtu.be/3ilW7Lzql2Y">https://youtu.be/3ilW7Lzql2Y</a>

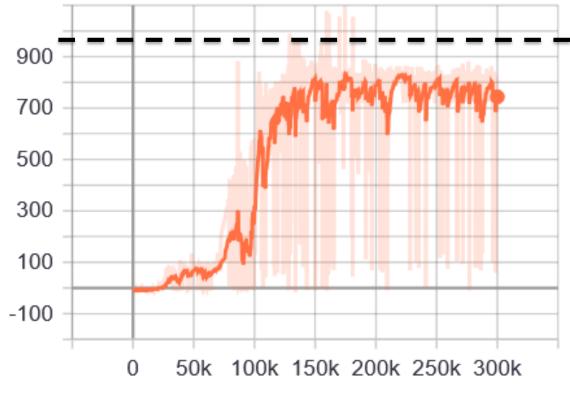
#### AirSim-v0 (IL) Optimal expert

#### **GAIL hyperparameters**

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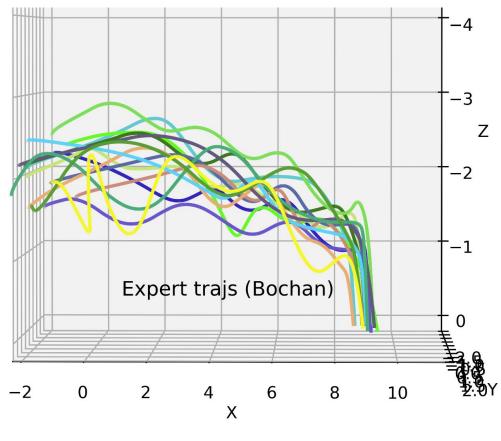
#### episode\_reward



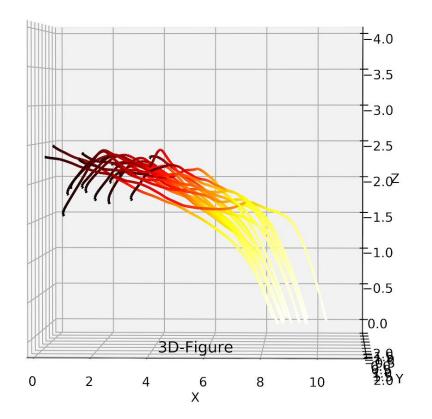
reward convergence

## GAIL on optimal human expert





**Optimal expert** 



**GAIL-learned policy** 

# Conclusions



- GAIL can imitate **navigation** (point A to point B)
- GAIL can learn optimal landings. HP-dependent
- Explanation: landings may be too 'non-smooth' for GAIL to learn

- Can we perhaps **construct a proxy reward** that conveys smoother landings?
- Can RL algorithms learn smoother landings from this proxy?

# EXPERT REWARD DESIGN

Can RL learn a 'smoother' landing than human expert?

Learned models:

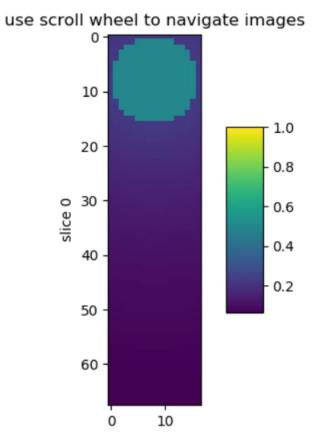
Simple - https://youtu.be/qJJOOOWfYcl

**Complex** - <u>https://youtu.be/cFpFTDo-V7k</u>

### **Proxy reward function design**

- 1. Simple reward (sparse):
  - Increase reward as it gets close to landing pad (1/x)
  - Large positive reward if it lands inside the landing pad (+1000)
  - Other conditions: -10 (visual cue, out of bounds, crash, timeout)

- 2. Complex reward (sparse):
  - Increase reward as it gets close to landing pad (1/x)
  - Scale goal reward according to drone heading, speed (1250-750)
  - Other conditions: -10 (visual cue, out of bounds, crash, timeout)

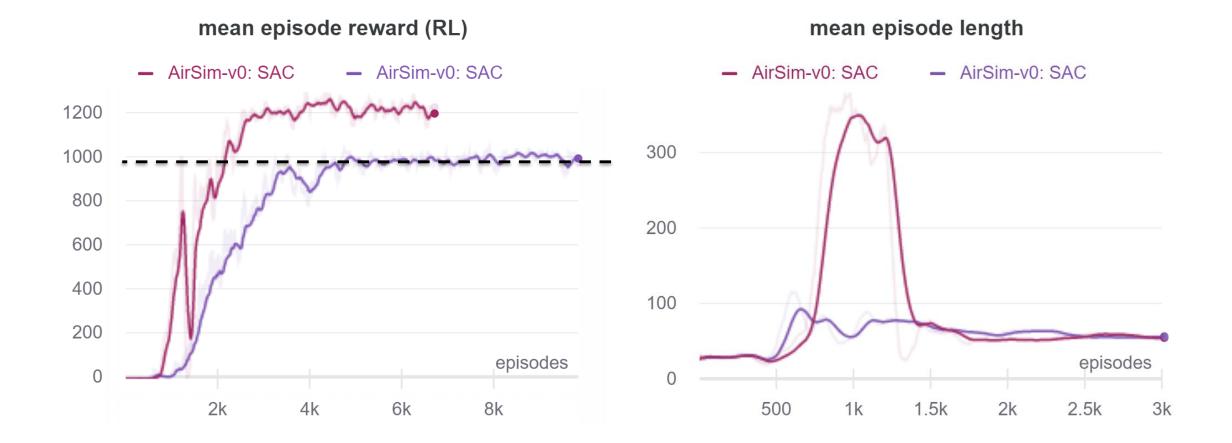


#### **Reward Heatmap**



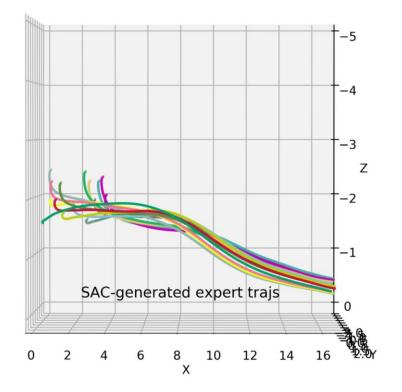
## SAC on AirSim-v0: Proxy rewards

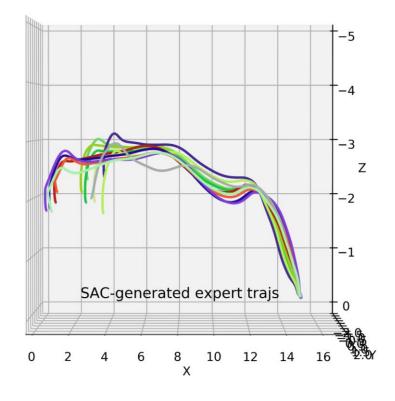




## **RL-generated expert demos**







#### **Proxy: simple**

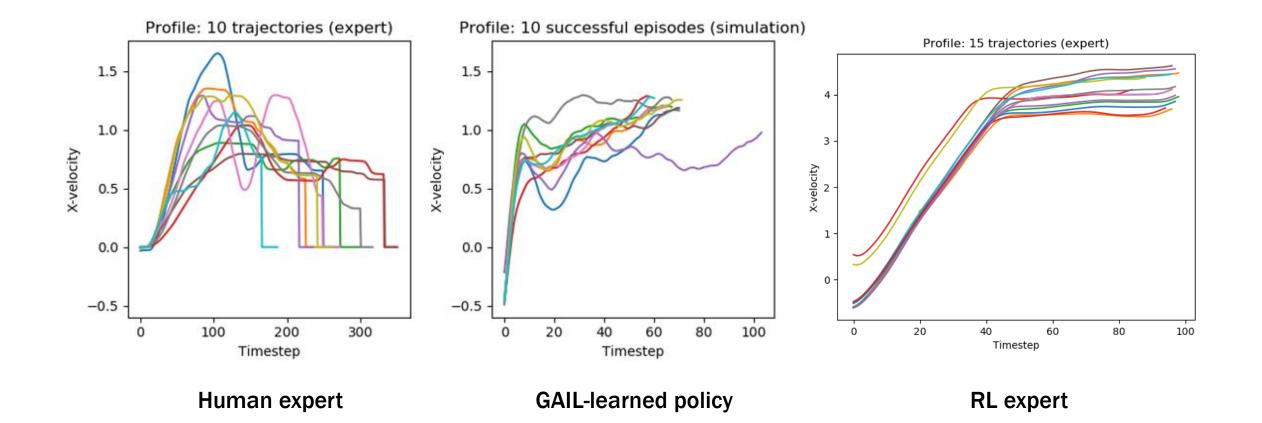
**Proxy: complex** 

# LANDING: HUMANS vs GAIL vs RL

Change in speed

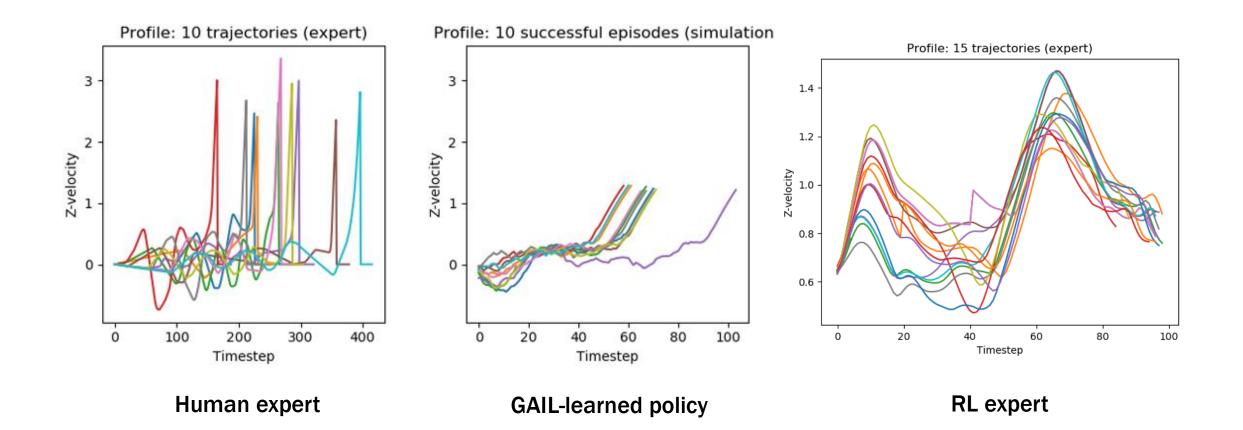
#### **Forward speed**





#### **Descent speed**





## Summary: humans vs GAIL vs RL



Expert	Maneuver type demonstrated/learned	Optimal	Landed	Score (mean, std)	Expert length
Human: Optimal	Hard landing (pilot)	Yes	120/120	(1141, 27)	362
Human: Suboptimal	Large variance	No	132/140	(1116, 284)	307
GAIL: optimal (20 demos)	Navigation, *Landing	*Yes	84/100	(1048, 292)	80
GAIL: suboptimal (20 demos)	Navigation only	*No	12/100	(684, 580)	80
SAC: Simple	Shortest-path	Yes	99/100	(1106, 112)	99
SAC: Complex	Smooth landing	Yes	97/100	(1265, 225)	94

\*Smooth landings crucial for perfect imitation with GAIL

## Some questions...



- 1. How does imitation accuracy scale with dimensionality, demo data? Sample-efficient
- 2. How 'smooth' are the learned policies compared to the expert policy? **smooth if expert is smooth**
- 3. Can sparse rewards be learned? At what cost? Yes, needs >20 demos, tuned HPs
- 4. Can GAIL imitate suboptimal experts? navigation easy, landing difficult. Tuned HPs
- 5. Can GAIL generalize? Tried different bounding boxes for optimal expert, GAIL policy. Need tuned HPs

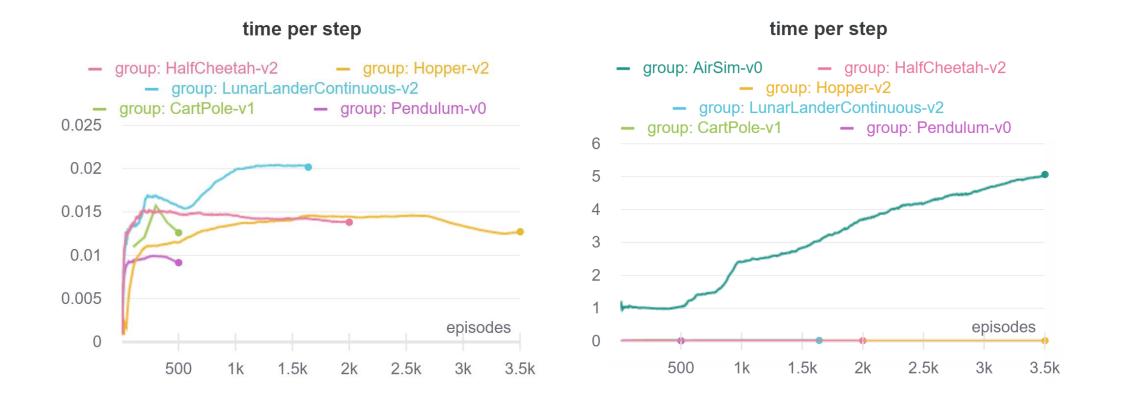




- GAIL can imitate AirSim-vO human experts. Navigation easy, landing not-so-easy
- RL on proxy rewards can generate **smoother landings** necessary for GAIL
  - reward  $\epsilon$  space of cost functions explored
- Expensive training time limits number of experiments you can run
- Lack of **tuned HPs** affects imitation accuracy

## **Environment samples are expensive!**





# Some numbers to crunch on...



Property	CartPole-v1	Hopper-v2	AirSim-v0
Dimension (state, action)	(4, 2)	(11, 3)	(6, 3)
Timesteps (GAIL)	3e5	1e6	1e6
Episode length (max)	500	1000	400 (human), 100 (RL)
Training time	20 minutes	2 hours	<b>36 hours</b> (at 4x)
Time/env interaction	4 ms	7.2 ms	129.6 ms
Time/episode	2 s	7.2 s	17.1 s (human), 4.3 s (RL)
Clock speed	Processor (4.8GHz)	Processor (4.8GHz)	4 x real time
Cumulative mean reward	Converged	Converged	Did not converge

API call was not received, entering hover mode for safety Collision#250 with Ground\_4 - ObjID 148 requestip/Control was successful Collision Count:90 ClockSpeed config. actual: 4.000000, 3.989193



## **Sections**



- 1. Introduction to Imitation Learning
- 2. Application 1: Autonomous UAV Landing
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# MineRL: Chopping trees and mining a Diamond in Minecraft

#### MineRL Competition: NeurIPS 2020



- Lack of large-scale imitation learning datasets
- MineRL: a large-scale dataset of seven different tasks on Minecraft (60 mil pairs)

Why Minecraft:

- Open-world env, sparse rewards, many innate task hierarchies and sub-goals
- 90 million monthly active users, easy to collect a large-scale dataset
- Env simulator available: Microsoft Malmo

#### **MineRL Competition: Description**



- Competition on sample-efficient reinforcement learning using human priors
- Address two crucial challenges in RL. Solving hierarchical environments with
  - Sparse rewards
  - Long time horizon
- Develop algorithms to mine a Diamond object in Minecraft using limited
  - Train time (4 days)
  - Compute (single GPU)
  - Samples from the environment simulator (8 million)

#### **MineRL Competition: Solution approaches**



- "...highlight a variety of research challenges, including open-world multi-agent interactions, long-term planning, vision, control, navigation, and explicit and implicit subtask hierarchies"
- Want to avoid massive datasets and hand-engineered features
- Complex, hierarchical, sparsely-rewarded task that demands use of:
  - Efficient exploration techniques
  - Training with human priors (e.g. fD algorithms)  $\square$
  - Reward shaping using IL techniques

#### **MineRL Competition: Details**



- Two competition tracks:
  - Demonstrations and Environment: MineRL dataset + 8M env interactions ☑
  - Demonstrations Only: MineRL dataset only

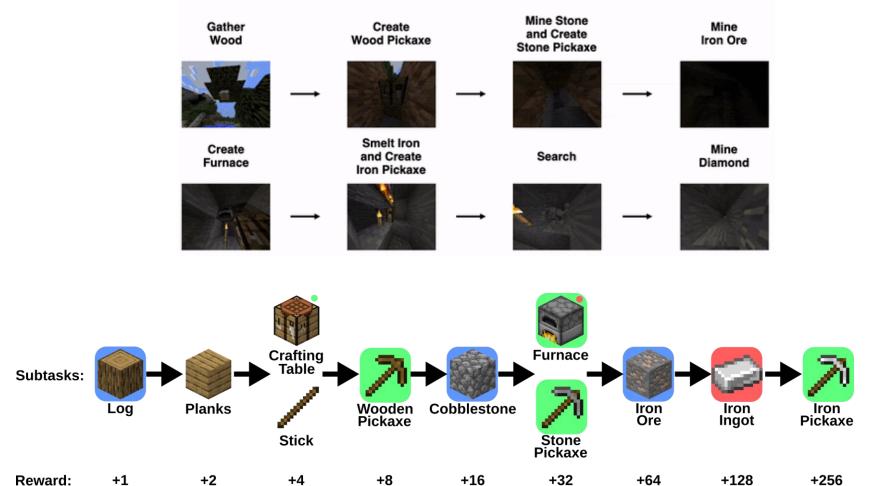
- What's new from 2019: Vectorized state, action space that **obfuscates** the agent's actions
  - Prevent participants from using domain knowledge
  - State: images + 1-D vector containing comprehensive set of features from the game
  - Actions: 1-D vector containing keyboard presses, mouse movements (pitch, yaw), player
    GUI interactions, and agglomerative actions such as item crafting

# Visualizing the MineRL envs & dataset

MineRLTreeChopVectorObf-v0: <u>https://youtu.be/q9DtmFJMc5I</u> MineRLObtainDiamondVectorObf-v0: <u>https://youtu.be/mexGyw1PoT0</u>

#### **Obtain Diamond: Tasks and Rewards**

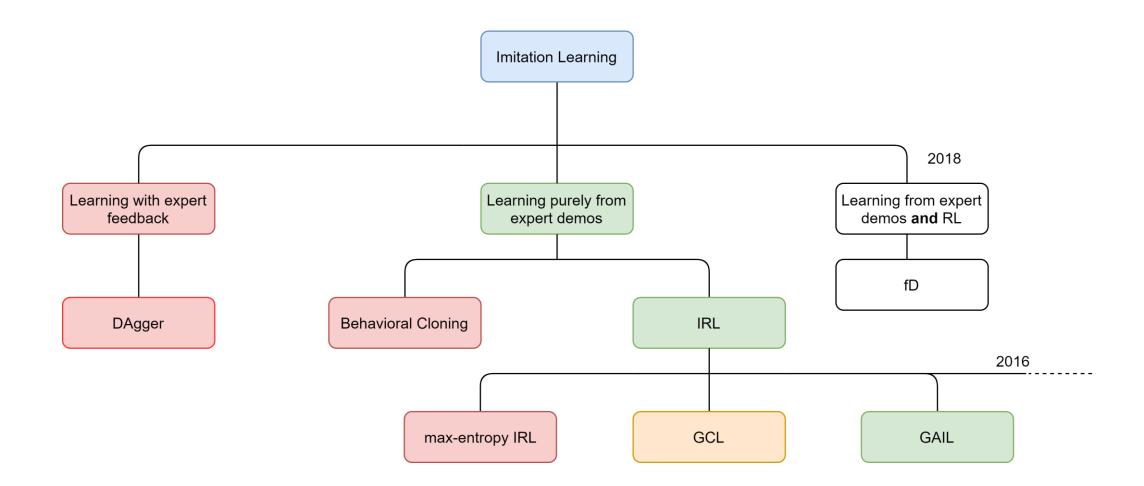




The stages of obtaining a diamond.

## **RL** with human priors (**RL** + **IL**!)





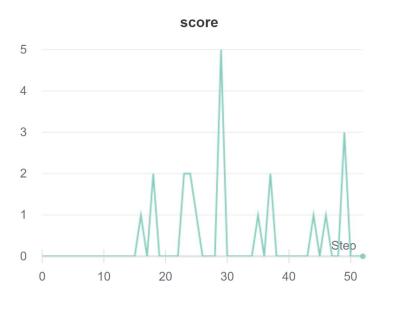
#### Tools



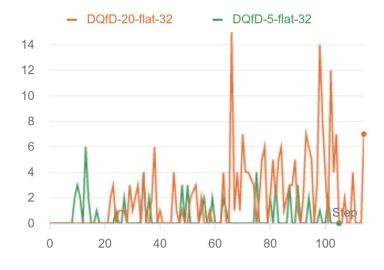
- RL library: Medipixel 0.10
- Framework: Pytorch 1.3.1
- Hyperparameters (HPs): Medipixel 0.10
- Results (train score vs episodes, test score): W&B 0.10

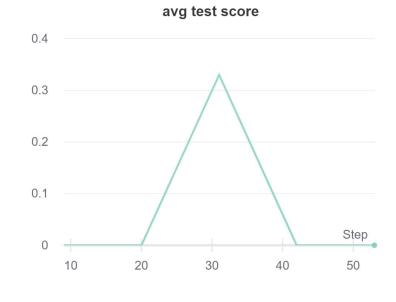
# DQN (RL) vs DQfD (RL+IL)

MineRLTreeChopVectorObf-v0: <u>https://youtu.be/YDpVRyZndCg</u> MineRLObtainDiamondVectorObf-v0: <u>https://youtu.be/b-SGp7PKbxM</u>





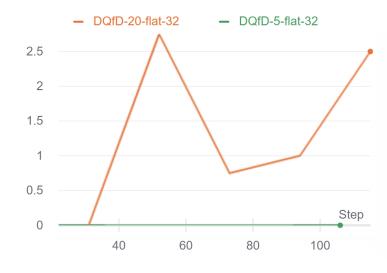




DQN

DQfD

avg test score



#### Submitted to MineRL Competition: NeurIPS 2020



85764 prabhasak submitted 0.000 0.000 RL+ Thu, 1 Oct View Code

∆ #	Participants	Media	Reward	N/A	tags	Entries
• 01	NoActionWasted	-	9.64	0.0	IL	15
• 02	michal_opano	-	9.29	0.0	IL	11
▲ 03	CU-SF	-	6.47	0.0	RL+ IL	12
▲ 04	HelloWorld	-	6.01	0.0	RL+ IL	7
• 05	NuclearWeapon	-	4.34	0.0	RL+ IL	7

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# CONCLUSIONS



- Need sample-efficient learning for complex, long-horizon tasks
- IL (GAIL) is a sample-efficient approach to learn from demonstrations
- IL can be used to imitate (even suboptimal) experts from sparsely-rewarded environments
  - Requires smooth experts and careful HP tuning for perfect imitation
- Application 1: Designed a novel method of autonomous UAV landing (simulation)
- Application 2: Discussed potential of IL + RL on a complex, sparse, long-horizon, hierarchical task

#### THANK YOU!

## TEXAS A&M UNIVERSITY Engineering



